

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

sector 106

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/823,923	
	Filing Date	March 29, 2001	
	First Named Inventor	George Gerpheide	
	Group Art Unit		
	Examiner Name	unknown	
Total Number of Pages in This Submission	9	Attorney Docket No.	1153 INDE.NP

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Appeal Communication: <input type="checkbox"/> Appeal Notice <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Reply Brief <input checked="" type="checkbox"/> Assignment with Cover Sheet <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Credit card authorization in the amount of \$ 851.00** <input checked="" type="checkbox"/> Declaration & Power of Attorney <input type="checkbox"/> Drawings ___ sheets <input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input checked="" type="checkbox"/> Extension of Time Request <u>1</u> month <input checked="" type="checkbox"/> Fee Transmittal Sheet <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> § 1.97 (1) <input type="checkbox"/> § 1.97 (2) <input type="checkbox"/> § 1.97 (3) <input type="checkbox"/> Form 1449 <input type="checkbox"/> Copies of IDS References <input type="checkbox"/> Issue Fee Transmittal & Advance Order	<input type="checkbox"/> Maintenance Fee Transmittal ___ year <input checked="" type="checkbox"/> Missing Parts Response <input type="checkbox"/> Notification of Change of Attorney Address & Docket Number <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Revocation & Power of Attorney <input type="checkbox"/> Status Inquiry <input type="checkbox"/> Other:
<b>Remarks</b>  <b>**The Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment in connection with this communication to Deposit Account No. 50-0881.</b>		

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Attorney for Applicant	David W. O'Bryant, Registration No. 39,793 Morris, Bateman, O'Bryant & Compagni, P.C. 5882 South 900 East, Suite 300 Salt Lake City, Utah 84121 (801) 685-2302 telephone; (801) 685-2303 facsimile		
Signature	<i>David W. O'Bryant</i>	Date	8/13/01
<b>CERTIFICATE OF MAILING UNDER 37 CFR § 1.8</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, on the date indicated below, in an envelope addresses to Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	David W. O'Bryant		
Signature	<i>David W. O'Bryant</i>	Date	8/13/01

# FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/823,923  
 Filing Date March 29, 2001  
 First Named Inventor George Gerpheide  
 Examiner Name  
 Group / Art Unit 2161  
 Attorney Docket No. 1153.INDE.NP

TOTAL AMOUNT OF PAYMENT (\$851.00)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0881

Deposit  
Account  
Number

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility Filing Fee	355
106	320	206	160	Design Filing Fee	
107	490	207	245	Plant Filing Fee	
108	710	208	355	Reissue Filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$355.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
44	24	9	216
Indep Claims	Extra Claims	Fee from below	Fee Paid
6	3	40	120
Multiple Dependent	Extra Claims	Fee from below	Fee Paid

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Indep. Claims in excess of 3
104	270	204	135	Mltpl dep. claims, if not paid
109	80	209	40	**Reissue indep. claims over original patent
110	18	210	9	**Reissue claims in excess of 20 over original patent

SUBTOTAL (2) \$336.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - the filing fee or oath	65
127	50	227	25	Surcharge-late provisional filing or cover sheet.	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR Prior to Examination action	
113	1840*	113	1840*	Requesting publication of SIR After Examiner action	
115	110	215	55	Extension for reply within 1 <sup>st</sup> mo.	55
116	390	216	195	Extension for reply within 2 <sup>nd</sup> mo.	
117	890	217	445	Extension for reply within 3 <sup>rd</sup> mo.	
118	1390	218	695	Extension for reply within 4 <sup>th</sup> mo.	
128	1890	228	945	Extension for reply within 5 <sup>th</sup> mo.	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1240	241	620	Petition to revive - unintentional	
142	1240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property (x number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	345	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$160.00

08/17/2001 HNDOR1 00000032 09823923

05 FC:215

55.00 OP

## SUBMITTED BY

Typed or  
Printed Name

David W. O'Bryant

Signature

*David W. O'Bryant*

Date

8/13/01

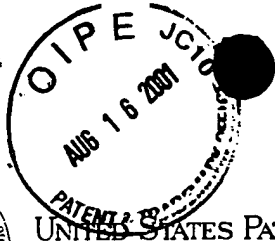
## Complete (if applicable)

Reg No.

39,793

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(801) 685-2302



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,923	03/29/2001	George Gerpheide	1153.INDE.NP

CONFIRMATION NO. 7545

## FORMALITIES LETTER



\*OC00000006144545\*

26986  
MORRIS, BATEMAN, O'BRYANT & COMPAGNI, P.C.  
5882 SOUTH 900 EAST, SUITE 300  
SALT LAKE CITY, UT 84121

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/17/2001 HNDOR1 00000032 09823923  
01 FC:201 355.00 DP  
02 FC:205 65.00 DP  
03 FC:203 216.00 DP  
04 FC:202 120.00 DP